

Docket No.: 3350-102
Client No.: GLTM



PATENT

DAC \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Group Art Unit: 2161
Biondi et al. : Examiner: Unassigned
Serial No.: 09/893,596 : Confirmation No.: 6504
Filed: June 29, 2001 :

For: SYSTEM AND METHOD FOR MULTIPLE ACCOUNT SINGLE SECURITY TRADING

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Honorable Assistant
Commissioner
for Patents
Washington, DC 20231

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Sir:

In response to the Notice to File Missing Parts of Application dated August 17, 2001, submitted herewith are the following documents for filing in the above-referenced application:

1. Copy of Notice to File Missing Parts of Application
2. Declaration, Power of Attorney (6 originals) executed by 6 of 7 joint inventors.
3. Statutory Basic filing fee of \$740.00
4. Late Filing Fee Surcharge of \$130.00

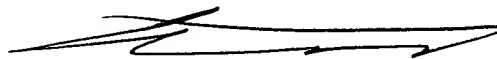
5. Additional Claims fee of \$342.00
- ~~6. Assignment and fee of \$40.00 separate check attached~~
7. Petition under 37 CFR 47(a), with supporting Declarations of Sharon Hand (including Exhibits A and B) and Robert Calichman, and fee of \$130.00
8. Petition for Extension of Time (4 months) and fee of \$1,440.00

A check in the amount of \$2,782.00 to cover the fees as listed above is enclosed.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 12-0429 and please credit any excess fees to such deposit account.

It is requested that a Corrected Official Filing Receipt now be issued.

Respectfully submitted,
Lalos & Keegan

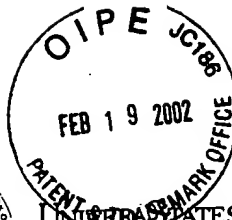


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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,596	06/29/2001	Matthew Biondi	3350-102

CONFIRMATION NO. 6504

FORMALITIES LETTER



OC000000006440466

LALOS & KEEGAN
Fifth floor
1146 Nineteenth Street, N.W.
Washington, DC 20036

Date Mailed: 08/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

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FILED UNDER 37 CFR 1.53(b)

FEB 20 2002

Filing Date Granted

OFFICE OF PETITIONS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$342.
 - \$342 for 19 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1182.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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740.00
342.00
130.00

01 FC:101
02 FC:103
03 FC:105